PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/773,559 TRANSMITTAL Filing Date Feb. 6, 2004 FORM First Named Inventor Hiromichi KOBAYASHI ee after initial filter (to be used for all correspon Art Unit 1756 **Examiner Name** SEP 1 4 2006 Janis L. Dote Attorney Docket Number 1217-040223 Total Number of Pages in Submission **ENCLOSURES** (Check all that apply) Drawing(s) ☐ Fee Transmittal Form ☐ After Allowance communication to Technology Center (TC) Licensing-related Papers ☐ Fee Attached Appeal Communication to Board ☐ Amendment/Reply Petition of Appeals and Interferences ☐ After Final Petition to Convert to a Appeal Communication to TC **Provisional Application** (Appeal Notice, Brief, Reply Brief) ☐ Affidavits/declaration(s) Power of Attorney, Revocation Proprietary Information Extension of Time Request Change of Correspondence Address Status Letter Express Abandonment Request Terminal Disclaimer 口 Other Enclosure(s) (please Information Disclosure Statement Request for Refund identify below) X Certified Copy of Priority Documents CD, Number of CD(s) Remarks Response to Missing Parts/ \Box Incomplete Application ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Kent E. Baldauf Firm The Webb Law Firm Individual name Signature September 12, 2006 Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Paull Signature Date 09-12-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Appl. No. 10/773,559

Supplemental Information Disclosure Statement dated 9-12-2006

Attorney Docket No. 1217-040223

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/773,559

Confirmation No. 3556

TRAM policants

Hiromichi KOBAYASHI et al.

Filed

V 5000

February 6, 2004

Title

Carrier Core Material, Coated Carrier, Two-Component

Developing Agent for Electrophotography, and Image

Forming Method

Art Unit

1756

Examiner

Janis L. Dote

Customer No.

28289

MAIL STOP AMENDMENT **Commissioner of Patents** P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Supplemental Information Disclosure Statement together with a completed Form PTO/SB/08a.

The references listed on Form PTO/SB/08A are cited in a European Patent Office Search Report dated August 21, 2006, in a counterpart European application. A copy of the European Patent Office Search Report is enclosed, along with a Certification Under 37 C.F.R. §1.97(e)(1).

European Patent EP 0 928 998 is equivalent to United States Patent No. 5,976,747; and European Patent EP 1 065 571 is equivalent to United States Patent No. 6,372,400. All four patents are cited on attached Form PTO/SB/08a. Copies of the European patents are enclosed herewith.

> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 12, 2006. Diane Paull (Name of Person Mailing Document) 09/12/2006 Signature Date

CERTIFICATION UNDER 37 C.F.R. §1.97(e)(1)

The undersigned hereby certifies that the European references cited on attached Form PTO/SB/08A were first cited in a communication mailed August 21, 2006, from the European Patent Office in a counterpart European application not more than three months prior to the filing of the accompanying Information Disclosure Statement.

No fee is believed to be due for the filing of this Supplemental Information Disclosure Statement as it is being submitted within three months of the date of the European Patent Office Search Report and includes a Certification under 37 C.F.R. §1.97(e)(1). Nevertheless, the Commissioner for Patents is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650.

This Information Disclosure Statement is submitted in duplicate.

Respectfully submitted,

THE WEBB LAW FIRM

By

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/773,559
				Filing Date	Feb. 6, 2004
				First Named Inventor	Hiromichi KOBAYASHI
				Art Unit	1756
				Examiner Name	Janis L. Dote
Sheet	1	of	1	Attorney Docket Number	1217-040223

		11	U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ^{2 (if kno}	wn)		
		US- 5,976,747 B1	11-02-1999	Sato et al.	
	_	US- 6,372,400 B1	04-16-2002	Yoshizaki et al.	<u></u>
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		EP	0 928 998	A1	07-14-1999	Sato et al.	U.S. equivalent '747	
		EP	1 065 571	A2	01-03-2001	Yoshizaki et al.	U.S. equivalent '400	
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Examiner	Date	
Signature	Considered	

Its attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Interest of the triangle of the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.